# **Merit-based Incentive Payment System (MIPS)**

2023 Extreme and Uncontrollable Circumstances Exception Application Guide

Quality Payment

# Quality Payment



<u>**Purpose:**</u> This guide will provide general information about the Extreme and Uncontrollable Circumstances (EUC) Exception application and provide step-by-step instructions on how to complete the application.







## How to Use This Guide

# Quality Payment



## **Table of Contents**

The Table of Contents is interactive. Click on a Chapter in the Table of Contents to read that section.



You can also click on the icon on the bottom left to go back to the Table of Contents.

**Please Note:** This guide was prepared for informational purposes only and isn't intended to grant rights or impose obligations. The information provided is only intended to be a general summary. It isn't intended to take the place of the written law, including the regulations. We encourage readers to review the specific statutes, regulations, and other interpretive materials for a full and accurate statement of their contents.

## **Hyperlinks**

Hyperlinks to the <u>Quality Payment Program website</u> are included throughout the guide to direct the reader to more information and resources.



Quality Payment

# MIPS Extreme and Uncontrollable Circumstances Exception Application Overview



## **Overview**

# Quality Payment

## **UPDATED MARCH 2024**

In response to the Change Healthcare cyberattack in late February, the Centers for Medicare & Medicaid Services (CMS) extended the 2023 Merit-based Incentive Payment System (MIPS) data submission deadline and is reopening the 2023 MIPS Extreme and Uncontrollable Circumstances (EUC) Exception Application to provide relief to clinicians affected by this cybersecurity incident. The applications will be open for the remainder of the data submission period, which closes April 15, 2024.

For more information, view the CMS Announces Reopening of 2023 MIPS EUC Application in Response to Change Healthcare Cyberattack listserv message posted on the QPP Resource Library.



# Quality Payment

## **Overview**

We understand that there may be circumstances out of your control that make it difficult for you to meet program requirements. To reduce this burden, we provide an opportunity for qualifying clinicians, groups, virtual groups and Alternative Payment Model (APM) Entities to apply for performance category reweighting for MIPS.

What	The MIPS Extreme and Uncontrollable Circumstances (EUC) Exception application allows you to request reweighting for one or more performance categories to 0%.
What	See <u>Appendix A1</u> , <u>Appendix A2</u> , and <u>Appendix B</u> for more information on performance category reweighting in traditional MIPS, MIPS Value Pathways (MVPs) and the APM Performance Pathway (APP).
	<ul> <li>Individual clinicians, groups, virtual groups, and APM Entities</li> <li>Third party intermediaries can submit an application with permission from the clinician or practice.</li> </ul>
WIIO	Subgroups registered to report an MVP can request reweighting through the QPP Service Center; subgroup reweighting requests will be superseded by any reweighting approved for their affiliated group. See <u>Slide 11</u> for additional information.
	You can submit an application to have your MIPS quality, cost, improvement activities, and/or Promoting Interoperability performance categories reweighted to 0% if:
Why	• You experience an extreme and uncontrollable circumstance outside of your control, such as a natural disaster, ransomware attack or public health emergency (PHE), that prevents you from collecting data for an extended period of time, or that could impact your performance on cost measures.
	Note: APM Entities are required to request reweighting for all performance categories.
When	The MIPS EUC Exception application will close at 8 p.m. ET on January 2, 2024.
When	The MIPS EUC Exception application will close at 8 p.m. ET on January 2, 2024. Sign in to the <u>Quality Payment Program (QPP) website</u> with your HCQIS Access and Roles Profile (HARP) account.
When	The MIPS EUC Exception application will close at 8 p.m. ET on January 2, 2024. Sign in to the <u>Quality Payment Program (QPP) website</u> with your HCQIS Access and Roles Profile (HARP) account. You must have a HCQIS Access Roles and Profile (HARP) account to complete and submit an exception application. For more information on HARP accounts, please refer to the <b>Register for a HARP Account</b> document in the <u>QPP Access User Guide (ZIP)</u> .
When	<ul> <li>The MIPS EUC Exception application will close at 8 p.m. ET on January 2, 2024.</li> <li>Sign in to the <u>Quality Payment Program (QPP) website</u> with your HCQIS Access and Roles Profile (HARP) account.</li> <li>You must have a HCQIS Access Roles and Profile (HARP) account to complete and submit an exception application. For more information on HARP accounts, please refer to the <b>Register for a HARP Account</b> document in the <u>QPP Access User Guide (ZIP)</u>.</li> <li><b>Note:</b> APM Entity representatives must have the Security Official role in order to complete the Extreme and Uncontrollable Circumstances Exception application on behalf of their entity. Refer to the Connect to an Organization document in the <u>QPP Access User Guide (ZIP)</u> for more information on requesting the Security Official role.</li> </ul>
When Where	The MIPS EUC Exception application will close at 8 p.m. ET on January 2, 2024. Sign in to the <u>Quality Payment Program (QPP) website</u> with your HCQIS Access and Roles Profile (HARP) account. You must have a HCQIS Access Roles and Profile (HARP) account to complete and submit an exception application. For more information on HARP accounts, please refer to the <b>Register for a HARP Account</b> document in the <u>QPP Access User Guide (ZIP)</u> . <b>Note:</b> APM Entity representatives must have the Security Official role in order to complete the Extreme and Uncontrollable Circumstances Exception application on behalf of their entity. Refer to the Connect to an Organization document in the <u>QPP Access User Guide (ZIP)</u> for more information on requesting the Security Official role. 1. <u>Register for a HARP account</u> 2. Sign in to the <u>OPP website</u>
When Where How	The MIPS EUC Exception application will close at 8 p.m. ET on January 2, 2024. Sign in to the <u>Quality Payment Program (QPP) website</u> with your HCQIS Access and Roles Profile (HARP) account. You must have a HCQIS Access Roles and Profile (HARP) account to complete and submit an exception application. For more information on HARP accounts, please refer to the <b>Register for a HARP Account</b> document in the <u>QPP Access User Guide (ZIP)</u> . <b>Note:</b> APM Entity representatives must have the Security Official role in order to complete the Extreme and Uncontrollable Circumstances Exception application on behalf of their entity. Refer to the Connect to an Organization document in the <u>QPP Access User Guide (ZIP)</u> for more information on requesting the Security Official role. 1. <u>Register for a HARP account</u> 2. Sign in to the <u>QPP website</u> 3. Select 'Exceptions Applications' on the left-hand navigation 4. Solect 'Add New Exceptions'
When Where How	The MIPS EUC Exception application will close at 8 p.m. ET on January 2, 2024. Sign in to the <u>Quality Payment Program (QPP) website</u> with your HCQIS Access and Roles Profile (HARP) account. You must have a HCQIS Access Roles and Profile (HARP) account to complete and submit an exception application. For more information on HARP accounts, please refer to the <b>Register for a HARP Account</b> document in the <u>QPP Access User Guide (ZIP)</u> . <b>Note:</b> APM Entity representatives must have the Security Official role in order to complete the Extreme and Uncontrollable Circumstances Exception application on behalf of their entity. Refer to the Connect to an Organization document in the <u>QPP Access User Guide (ZIP)</u> for more information on requesting the Security Official role. 1. <u>Register for a HARP account</u> 2. Sign in to the <u>QPP website</u> 3. Select 'Exceptions Applications' on the left-hand navigation 4. Select 'Add New Exception' 5. Select 'Extreme and Uncontrollable Circumstances Exception' 5. Select 'Extreme and Uncontrollable Circumstances Exception'



# Quality Payment

## **Policies for Individual Clinicians, Groups, and Virtual Groups**

MIPS EUC Exception applications can be submitted for one or more performance categories. Any data you submit, or is submitted on your behalf, will override reweighting for that performance category and the category will be scored.

- If you later determine that you're able to collect data for a performance category approved in your application, you can submit that data and it will be scored.
- If the cost performance category is included in the application, cost measures won't be scored even if data is submitted for other performance categories.
- If you submit Medicare Part B Claims measures during the performance year, this will override quality performance category reweighting. However, if you request and are approved for reweighting in all 4 performance categories and don't submit data for another performance category, your MIPS final score for the 2023 MIPS performance period would be equal to the performance threshold and you would receive a neutral payment adjustment in 2025.

# You must be scored on at least 2 performance categories to earn a MIPS final score other than the performance threshold.

When fewer than 2 performance categories can be scored (meaning 1 performance category is weighted at 100% of your MIPS final score, and the other performance categories are weighted at 0%), the MIPS final score for the 2023 MIPS performance period would be equal to the performance threshold and you would receive a neutral payment adjustment in 2025.

You'll be scored in any performance category that's not included in your application, unless you qualify for reweighting through another policy.

#### For example:

- If you don't meet the case minimum for any cost measures, the cost performance category will be reweighted.
- You qualify for reweighting under the automatic Extreme and Controllable Circumstances policy.
  - Review the 2023 Automatic Extreme and Uncontrollable Circumstances Fact Sheet (PDF) for additional information.
- Certain clinician types and individuals, groups, and virtual groups with certain special statuses qualify for automatic reweighting of the Promoting Interoperability performance category.
  - For additional information on automatic reweighting of Promoting Interoperability, review the <u>2023 Promoting</u> <u>Interoperability Quick Start Guide (PDF)</u>.

# Quality Payment

# Intersection Between the Automatic MIPS EUC Policy and the Application-Based MIPS EUC Policy

If you qualify for reweighting under the automatic MIPS EUC policy, then we'll reweight all 4 performance categories to 0% (rather than just the categories included in your MIPS EUC Exception application). We'll score any performance category for which data is submitted.

#### Example

Scenario	Outcome
A MIPS eligible clinician who is eligible for the automatic MIPS EUC policy has also submitted an MIPS EUC Exception application. The application was approved for reweighting in the Promoting Interoperability performance category, and the clinician submits data for the quality and improvement activities performance categories.	<ul> <li>You'll be scored as follows:</li> <li>The quality and improvement activities performance categories will be scored because you submitted data for those categories</li> <li>The cost performance category is reweighted to 0% under the automatic EUC policy.</li> <li>The Promoting Interoperability performance category is also reweighted to 0% under the automatic EUC policy.</li> <li>Note, in this example, the MIPS EUC Exception application wasn't needed to reweight the Promoting Interoperability performance category is also reformance category due to the automatic MIPS EUC policy.</li> </ul>



# Quality Payment

## Individual Clinicians, Groups, and Virtual Groups Reporting Traditional MIPS / Individual Clinicians and Groups Reporting an MVP

If you qualify for reweighting under the automatic MIPS EUC policy, then we'll reweight all 4 performance categories to 0% (rather than just the categories included in your MIPS EUC Exception application). We'll score any performance category for which data is submitted.

#### Example

Scenario	Outcome
You're planning to report <b>traditional</b> <b>MIPS or registered to report an MVP</b> . You submit an application to have all 4 performance categories reweighted, but later determine you're able to report the improvement activities and Promoting Interoperability performance categories.	<ul> <li>You'll receive a MIPS final score based on the data submitted.</li> <li>The improvement activities performance category will be weighted at 15%.</li> <li>The Promoting Interoperability performance category will be weighted at 85%.</li> <li>The quality performance category will retain a 0% weight because you didn't submit quality data.</li> <li>The cost performance category will retain a 0% weight because there are no data submission requirements associated with the cost performance category (reweighting can't be voided).</li> </ul>



# Quality Payment

## **Individual Clinicians and Groups Reporting the APP**

#### Example

Scenario	Outcome
You're a MIPS eligible clinician (or group) planning to report the <b>APP</b> . You submit an application to reweight the quality performance category and your application was approved.	<ul> <li>You'll receive a MIPS final score based on the data submitted.</li> <li>The improvement activities performance category will be weighted to 25%.</li> <li>The Promoting Interoperability performance category will be weighted to 75%.</li> <li>The quality performance category will be weighted at 0% provided no data is submitted.</li> <li>The cost performance category will retain a 0% weight (because cost isn't scored under the APP).</li> </ul>



# Quality Payment

## **Policies for Subgroups Reporting an MVP**

Clinicians who register to report an MVP as a subgroup will inherit the performance category reweighting approved for their affiliated group.

- Data submission by the affiliated group won't override performance category reweighting for the subgroup.
- Data submission by the subgroup won't override performance category reweighting for the affiliated group.

Clinicians who register to report an MVP as a subgroup can request performance category reweighting at the subgroup level by contacting the QPP Service Center.

Subgroups <u>won't</u> be able to submit a MIPS EUC Exception application as outlined in this guide.

A subgroup's request for reweighting will be superseded by any reweighting approved for their affiliated group.



# Quality Payment

## **Policies for APM Entities**

#### **APM Entities**

APM Entities in the following models can submit an EUC Exception application on behalf of their MIPS eligible clinicians:

- Medicare Shared Saving Program
- Vermont Medicare ACO Model
- Bundled Payments for Care Improvement (BPCI) Advanced Model
- Enhanced Oncology Model (EOM)
- Maryland Total Cost of Care
- Independence at Home Demonstration
- Primary Care First (PCF)
- Value in Opioid Use Disorder Treatment (ViT)
- ACO Realizing Equity Access and Community Health (REACH) Model
- Comprehensive Care for Joint Replacement (CJR) Payment
- Kidney Care Choices (KCC)

## Policies for APM Entities (Continued)

#### APM Entity applications must be submitted for all performance categories.

- You can't submit an application for an APM Entity to request reweighting in 1 or 2 performance categories.
- This is different from our policy for individual, group, and virtual group applications.

## If your APM Entity's application is approved, the APM Entity will receive a final score equal to the performance threshold even if data are submitted for the APM Entity.

- The MIPS eligible clinicians in the APM Entity will receive a neutral MIPS payment adjustment unless they have a higher final score from individual or group participation.
- Data submitted for an APM Entity will not override performance category reweighting from an approved application.
- This is different from our policy for individual, group, and virtual group applications.

# At least 75% of MIPS eligible clinicians in an APM Entity must qualify for reweighting of the Promoting Interoperability performance category.

- Given that APM Entities are required to request reweighting for all performance categories in their EUC Exception
  application, at least 75% of the MIPS eligible clinicians in the Entity will need to qualify for reweighting in the
  Promoting Interoperability performance category.
- They may qualify automatically or by meeting one of the criteria for the <u>MIPS Promoting Interoperability</u> <u>Hardship Exception</u>.

# APM Entity representatives must have a QPP Security Official role to complete the Extreme and Uncontrollable Circumstances Exception application on behalf of their entity.

 Review the Connect to an Organization resource in the <u>QPP Access User Guide (ZIP)</u> for more information on obtaining the Security Official role.

#### An approved application won't affect your model-specific reporting requirements.

• For example, Shared Savings Program ACOs must report the quality measures identified under the APP to meet their requirements under the Shared Savings Program, unless otherwise excepted under that APM.



Quality Payment

# MIPS Extreme and Uncontrollable Circumstances Exception Application Process: Frequently Asked Questions



## MIPS Extreme and Uncontrollable Circumstances Exception Application Process: Frequently Asked Questions

# Quality Payment

## How Does CMS Assess MIPS EUC Exception Application Requests?

We consider the variables affecting your ability to collect and submit data for each performance category when reviewing your application for performance category reweighting due to extreme and uncontrollable circumstances.

During our review, we will review both the event circumstances and the length of time you were impacted as indicated in your application to assess the ability of a MIPS eligible clinician to submit data for each performance category selected in the application.

#### Example:

The performance period for an improvement activity is a continuous 90-day period (or as specified in the activity description) whereas the performance period for the quality performance category is 12 months. An issue lasting 3 months may have more impact on the availability of measures for the quality performance category than your ability to perform and attest to improvement activities.

## How Long Does it Take to Process an EUC Exception Application?

The length of time it takes to process application requests depends on the volume of requests we receive. We
review all application requests in the order that they were received. Once a decision is made regarding your
application, you'll receive a notice of our decision and the status of your application will be reflected in your QPP
Account on the <u>QPP website</u>.

## Where Can I Look for a Status Update on My EUC Exception Application?

• You can monitor your application status in your QPP Account on the <u>QPP website</u>.

## MIPS Extreme and Uncontrollable Circumstances Exception Application Process: Frequently Asked Questions

# Quality Payment

## Are We Required to Submit Documentation with Our EUC Exception Application?

- No, you aren't required to submit documentation with your application.
- However, you should retain documentation of the circumstances supporting your application for your own
  records in the event that you are selected by CMS for data validation or an audit. See our <u>2023 MIPS Data</u>
  <u>Validation Criteria Guide (ZIP)</u> for information on the data validation and audit process.

## How Can I Correct a Mistake Made on Our EUC Exception Application?

 If you identified an error with your exception application, please contact the Quality Payment Program Service Center by email at <u>QPP@cms.hhs.gov</u>, create a <u>QPP Service Center ticket</u>, or by phone at 1-866-288-8292 (Monday-Friday, 8 a.m. - 8 p.m. ET).

## Can Additional Staff Members Access/Receive Notifications About the Status of Our EUC Exception Application?

- Yes, you can add additional staff or representatives who should receive notifications about the status of the application.
- In the **Additional Access** section of the application, provide the email address(es) of additional staff or representatives who would like to receive email notifications.
- Please note that the additional staff or representatives must have HARP credentials in order to see the
  application on the <u>QPP website</u>.

**Important Note:** Corrections can't be made after the application period has closed.



## MIPS Extreme and Uncontrollable Circumstances Exception Application Process: Frequently Asked Questions

# Quality Payment

# Can we submit one MIPS EUC Exception application at the group level rather than separate applications for each individually eligible clinician?

• Yes, you can submit a group-level application instead of separate applications for each clinician if the EUC applies to the whole group. However, if the circumstances are specific to a subset of clinicians in the group, you'd need to submit individual applications for each of those clinicians.

# Our third party intermediary experienced issues and is unable to submit data on our behalf. Can we submit an EUC Exception application for this issue?

- As noted in the <u>Calendar Year 2018 Quality Payment Program Final Rule</u>, extreme and uncontrollable circumstances, such as natural disasters, may affect a clinician's ability to access or submit quality measures via all submission mechanisms (effectively rendering the measures unavailable to the clinician), as well as the availability of numerous improvement activities. In addition, damage to a facility where care is provided due to a natural disaster, such as a hurricane, could result in practice management and clinical systems that are used for the collection or submission of data to be down, thus impacting a clinician's ability to submit necessary information via Qualified Registry, QCDR, CMS Web Interface, or claims.
- This policy doesn't include issues that third party intermediaries, such as Electronic Health Record vendors (EHRs), QCDRs, or Qualified Registries, might have submitting information to MIPS on behalf of a MIPS eligible clinician.
- Instead, this policy is geared towards events, such as natural disasters, that affect the MIPS eligible clinician's ability to submit data to the third party intermediary, which in turn, could affect the ability of the clinician (or the third party intermediary acting on their behalf) to successfully submit measures and activities to MIPS.



Quality Payment

# MIPS Extreme and Uncontrollable Circumstances Exception Application Process: Application Steps



# Quality Payment

## **Step 1: Sign in to Your QPP Account**

With your HARP credentials, sign in to your QPP Account **on the <u>QPP website</u>**.

**Note:** If you haven't signed in on the <u>QPP</u> <u>website</u> before, you must register for an account to obtain your HARP credentials.

\*The screenshots included in this user guide were based on the QPP test environment. Because we are always working to incorporate feedback and improve the experience, there may be differences between these screenshots and what you see on the <u>QPP website</u>.

# Sign in Register Sign in to QPP USER ID

PASSWORD

Password

Show password

Forgot your user id or password? Recover ID or reset password

If you are a representative of a Shared Savings Program ACO and can access the ACO Management System (ACO-MS), then you can sign in to OPP using the same User ID and Password.

#### STATEMENT OF TRUTH

In order to sign in, you must agree to this: I certify to the best of my knowledge that all of the information that will be submitted will be true, accurate, and complete. If I become aware that any submitted information is not true, accurate, and complete, I will correct such information promptly. I understand that the knowing omission, misrepresentation, or falsification of any submitted information may be punished by criminal, civil, or administrative penalties, including fines, civil damages, and/or imprisonment.

Yes, I agree

Sign in 🗦

Don't have an account? Register

# Quality Payment

## Step 2: Navigate to Your Exception Applications

Once you're signed into your account, select:

 The Exception Application tab in the left-hand navigation menu, then click + Add New QPP Exception

#### OR

• The **Start an Application** quick link on the home page.

\*The screenshots included in this user guide were based on the QPP test environment. Because we are always working to incorporate feedback and improve the experience, there may be differences between these screenshots and what you see on the <u>QPP website</u>.





## Step 3: Select Extreme and Uncontrollable Circumstances Exception

Select the **Extreme and Uncontrollable Circumstances Exception**, then click **Continue**.

\*The screenshots included in this user guide were based on the QPP test environment. Because we are always working to incorporate feedback and improve the experience, there may be differences between these screenshots and what you see on the <u>QPP website</u>.

# Quality Payment

#### Add New Exception

#### Exception Type \*

) MIPS Promoting Interoperability Performance Category Hardship Exception

The MIPS Promoting Interoperability Performance Category Hardship Exception applications allows you to request reweighting specifically for the Promoting Interoperability performance category if you qualify for one of the reasons identified below.

- You are a small practice
- You have decertified EHR technology
- · You have insufficient internet connectivity
- You face extreme and uncontrollable circumstances such as disaster, practice closure, severe financial distress or vendor issues
- You lack control over the availability of CEHRT

#### Extreme and Uncontrollable Circumstances Exception

The Extreme and Uncontrollable Circumstances Exception application allows you to request reweighting for any or all performance categories if you encounter and extreme and uncontrollable circumstance or public health emergency, such as COVID-19, that is outside of your control.

All other events such as vendor issues, decertification of EHR, etc. should be filed as a Promoting Interoperability Hardship Exception.

CANCEL (

CONTINUE >



# Add New Extreme and Uncontrollable **Circumstances Application** Application Type: \* 🕐 Individual Group Virtual Group APM Entity Clinician NPI \* 🕐 e.g. 1234567890 CANCEL

**Note:** If reporting an MVP with a subgroup, you must contact the QPP Service Center to request an Extreme and Uncontrollable Circumstances Exception application.

Select the participation level at which you intend (or

**Step 4: Select Application Type** 

intended) to participate in MIPS.

\*The screenshots included in this user guide were based on the QPP test environment. Because we are always working to incorporate feedback and improve the experience, there may be differences between these screenshots and what you see on the <u>QPP website</u>.

Quality Payment

## **Step 5: Enter Participation Level Information**

Enter the required participation level information.

The required information for each participation level is as follows:

Participation Level	Required Information		
Individual Clinician	<ul> <li>National Provider Identifier (NPI)</li> <li>Practice Affiliation</li> </ul>		
Group	<ul> <li>Taxpayer Identification Number (TIN)</li> </ul>		
Virtual Group	Virtual Group Identifier		
APM Entity	APM Entity ID		

**Note:** If you're an individual clinician, group, or virtual group requesting reweighting of only 1 or 2 MIPS performance categories and intend to submit data for others, it is critical that you select the application type that aligns with the level at which you intend to submit data to MIPS (i.e., at the individual, group or virtual group level).

VY 2023 OPP EXCEPTION ID: 8521 VIEW/ADD COMMENTS   EUC Application - BD (E&UC: Individual) / All changes saved SAVE & CLOSE				
Individual Details				
Clinician NPI	Clinician's Name	Clinician Type		
0839723588 Change	Brenda Drerenberger	Doctor of Medicine	- 1	
Group Practice Name * ⊘				
Select	~			
Select				
Pfeffer Group (TIN: *****9403)				
Notlisted				

\*The screenshots included in this user guide were based on the QPP test environment. Because we are always working to incorporate feedback and improve the experience, there may be differences between these screenshots and what you see on the QPP website.



# Quality Payment

# Quality Payment

## **Step 6: Enter Submitter Details**

Enter your **contact information** (as the submitter) and identify your **relationship to the party** identified in the application.

\*The screenshots included in this user guide were based on the QPP test environment. Because we are always working to incorporate feedback and improve the experience, there may be differences between these screenshots and what you see on the <u>QPP website</u>.

2023 QPP EXCEPTION ID: 8521	VIEW/ADD COMMENTS		(
EUC Application - BD	(E&UC: Individual) 🧪 🗄	✓ All changes saved	SAVE & CLOSE
Submitter Details			
Contact Phone Number * 🕐			
Phone Number 866-288-8292	Ext. (Optional)		
Contact Email Address * ⑦			
Email janedoe@pfeffergroup.com			
Email janedoe@pfeffergroup.com	ary Relationship *		
Email janedoe@pfeffergroup.com Submitter/Third Party Intermedia ⑦	ary Relationship *		
Email janedoe@pfeffergroup.com Submitter/Third Party Intermedia Please specify	ary Relationship *		
Email janedoe@pfeffergroup.com Submitter/Third Party Intermedia Please specify Please specify	ary Relationship *		
Email janedoe@pfeffergroup.com Submitter/Third Party Intermedia Please specify Please specify Clinician	ary Relationship *		
Email janedoe@pfeffergroup.com Submitter/Third Party Intermedia Please specify Please specify Clinician Consultant	ary Relationship *		
Email janedoe@pfeffergroup.com	ary Relationship *		
Email janedoe@pfeffergroup.com	ary Relationship •		
Email janedoe@pfeffergroup.com Submitter/Third Party Intermedia Please specify Please specify Clinician Consultant Physician Staff Registry / OCDR EHR Vendor	ary Relationship •		

# Quality Payment

## **Step 7: Enter Additional Staff in the Additional Access Section**

You can identify additional users to receive notifications about the application in the **Additional Access** section.

If there's a HARP account associated with the email address(es) you provide, the person will be able to sign in to their QPP Account on the <u>QPP website</u> and access the application.

PY 2023 OPP EXCEPTION ID: 8521       VIEW/ADD COMMENTS         EUC Application - BD (E&UC: Individual) <ul> <li></li></ul>	✓ All changes saved SAVE & CLOSE
Additional Access	
Additional Staff Access Email(s) ⑦	
johndoe@pfeffergroup.com × jackdoe@pfeffergroup.com × Enter email address(es)	

\*The screenshots included in this user guide were based on the QPP test environment. Because we are always working to incorporate feedback and improve the experience, there may be differences between these screenshots and what you see on the <u>QPP website</u>.



## Step 8: Enter the Extreme and Uncontrollable Circumstances Event Type

Select the appropriate **Event Type** for the extreme and uncontrollable circumstance you experienced. Then, enter the timeframe for which you were impacted by the extreme and uncontrollable event by completing the **Event Date Range**. If you continue to be impacted by the event, select **Event Still Persists**.

\*The screenshots included in this user guide were based on the QPP test environment. Because we are always working to incorporate feedback and improve the experience, there may be differences between these screenshots and what you see on the <u>QPP website</u>.

**Quality Payment** PROGRAM PY 2023 OPP EXCEPTION ID: 8521 VIEW/ADD COMMENTS All changes saved SAVE & CLOSE EUC Application - BD (E&UC: Individual) Extreme and Uncontrollable Circumstances Details Event Type \* 🕐 COVID-19 Natural Disaster Ransom / Malware Medical Issue Other Event Date Range \* 🕐 Ē Ē To Start Date \* End Date \* Event Still Persists Event Description \* 🕐 Enter a brief description of the event 6000 characters remaining



# Quality Payment

## Step 9: Provide a Brief Description of the Challenges You Experienced

Provide a **brief description** about the data collection challenges that you experienced due to the extreme and uncontrollable event and how your 2023 performance data will be impacted.

Event Description * ②
Enter a brief description of the event
6000 characters remaining

**Note:** You don't need to submit supporting documentation with your application.

However, you should retain documentation of the circumstances supporting your application for your own records in the event that you're selected by CMS for data validation or an audit.

See our 2023 MIPS Data Validation Criteria for information on the data validation and audit process.

\*The screenshots included in this user guide were based on the QPP test environment. Because we are always working to incorporate feedback and improve the experience, there may be differences between these screenshots and what you see on the <u>QPP website</u>.



## Step 10: Select MIPS Performance Categories

#### Select each **MIPS performance category** for which you're requesting reweighting by checking the corresponding box next to the category name, then select **Submit for Review**

<u>Note</u>: All performance categories will be automatically selected for APM Entity applications.

#### Performance Categories Affected \* 🕐

#### Quality

Select this category when the event or circumstance has affected your performance or ability to collect quality data for a significant portion of the 12 month performance period. If this category is approved for reweighting, you won't receive a score in this category unless data are submitted for it.

#### Promoting Interoperability

Select this category when the event or circumstance has affected your performance or ability to collect promoting interoperability data for any 90 consecutive day period during the calendar year. If this category is approved for reweighting, you won't receive a score in this category unless data are submitted for it.

#### Improvement Activities

Select this category when the event or circumstance has affected your ability to implement any improvement activity for any 90 consecutive day period during the calendar year. If this category is approved for reweighting, you won't receive a score in this category unless data are submitted for it.

#### Cost

Select this category when you believe the event or circumstance has affected your performance on cost measures. If this category is approved for reweighting, you won't receive a score in this category even if data are submitted for other performance categories.

WITHDRAW

SUBMIT FOR REVIEW >

\*The screenshots included in this user guide were based on the QPP test environment. Because we are always working to incorporate feedback and improve the experience, there may be differences between these screenshots and what you see on the <u>QPP website</u>.



# Quality Payment

# Quality Payment

## Step 11: Submit Extreme and Uncontrollable Circumstances Application

Once you're done with your application, review the disclosures, then select the **Certify & Submit** button.

\*The screenshots included in this user guide were based on the QPP test environment. Because we are always working to incorporate feedback and improve the experience, there may be differences between these screenshots and what you see on the <u>QPP website</u>. Certify and Submit for Review

Submission Summary

EXPORT (PDF)

#### General Notice

If an application is approved for reweighting of all categories and no data is submitted, the clinician, group or virtual group will receive a final score which is equal to the performance threshold score and receive a neutral MIPS payment adjustment. If the clinician, group, or virtual group associated with this application submits data for any performance category included in an approved application, data submission will void the reweighting for that performance category and be part of your final score. When fewer than 2 performance categories are scored, the final score for the 2021 MIPS performance period would be equal to the performance threshold, resulting in a neutral payment adjustment in the 2023 MIPS payment year.

#### Disclosures

Submission of this MIPS Extreme and Uncontrollable Circumstance Application is voluntary. Failure to provide necessary information to identify the clinician or group will result in processing delays or denial of the MIPS Extreme and Uncontrollable Circumstance Application.

#### Notice

By submitting this Extreme and Uncontrollable Circumstances Hardship Exception Application, I am certifying that the details entered are correct to the best of my knowledge. Furthermore, I am submitting this request as if I physically signed and submitted a hard copy of this form.

CLOSE

CERTIFY & SUBMIT >

## **Extreme and Uncontrollable Circumstances Exception Application Submission Confirmation**

After you submit your application, you'll receive a message stating that your application has been successfully submitted and is pending review. You'll also receive an email notification.



The Extreme and Uncontrollable Circumstances application for EUC Application - BD NPI: 0839723588 / TIN: \*\*\*\*\*9403 has been approved and the following performance categories will be adjusted to 0% of the final score: Quality, Promoting Interoperability, Improvement Activities

#### EXPORT APPLICATION (PDF)

#### Please note:

If data is submitted for a performance category above, the adjustment will be void and the performance category will be scored.

\*The screenshots included in this user guide were based on the QPP test environment. Because we are always working to incorporate feedback and improve the experience, there may be differences between these screenshots and what you see on the <u>QPP website</u>.

DONE

**Quality Payment** 

PROGRAM





# **Help and Version History**



## **Help and Version History**

## Where Can You Go for Help?

Contact the Quality Payment Program Service Center by email at <u>QPP@cms.hhs.gov</u>, create a <u>QPP Service Center ticket</u>, or by phone at 1-866-288-8292 (Monday through Friday, 8 a.m. - 8 p.m. ET).

 Customers who are hearing impaired can dial 711 to be connected to a TRS Communications Assistant. Visit the <u>Quality Payment</u> <u>Program website</u> for other <u>help</u> <u>and support information</u>, to learn more about <u>MIPS</u>, and to check out the resources available in the <u>Quality Payment Program</u> <u>Resource Library</u>.



**Quality Payment** 

PROGRAM

## Help and Version History

## **Version History**

If we need to update this document, changes will be identified here.

Quality	Payment
	PROGRAM

Date	Description
03/15/2024	Updated to add slide 6 to reflect that CMS extended the data submission deadline and reopened the 2023 MIPS EUC Exception Application period.
05/01/2023	Original Posting.



### Appendix A1. 2023 Performance Year MIPS Performance Category Weight Redistribution Policies for Individual Clinicians, Groups, Virtual Groups, and APM Entities Reporting Traditional MIPS or MVPs

The table below illustrates the 2023 performance category weights and reweighting policies that CMS will apply to clinicians, groups, and virtual groups reporting traditional MIPS or MVPs. (Small practices should refer to <u>Appendix A2</u>.)

Refer to <u>Appendix B</u> for reweighting policies for APM Entities reporting the APP. APM Entities reporting traditional MIPS can **only** request reweighting for **all** performance categories.

If fewer than 2 performance categories can be scored (meaning 1 performance category is weighted at 100%, or all performance categories are weighted at 0%), the clinician, group, or virtual group will receive a final score equal to the performance threshold and the MIPS eligible clinicians will receive a neutral payment adjustment in the 2024 payment year.

MIPS Performance Category Reweighting Scenario	Quality Category Weight	Cost Category Weight	Improvement Activities Category Weight	Promoting Interoperability Category Weight
No Reweighting				
Standard Weighting under traditional MIPS	30%	30%	15%	25%
Reweight 1 Performance Category				
<b>No Cost</b> (Cost $\rightarrow$ Quality and Promoting Interoperability)	55%	0%	15%	30%
No Improvement Activities (Improvement Activities → Quality)	45%	30%	0%	25%
No Promoting Interoperability (Promoting Interoperability → Quality)	55%	30%	15%	0%
No Quality (Quality → Promoting Interoperability)	0%	30%	15%	55%

Table continues on the following slide.

## **Appendix A1.**

## 2023 Performance Year MIPS Performance Category Weight Redistribution Policies for Individual Clinicians, Groups, Virtual Groups, and APM Entities Reporting Traditional MIPS or MVPs (Continued)

MIPS Performance Category Reweighting Scenario	Quality Category Weight	Cost Category Weight	Improvement Activities Category Weight	Promoting Interoperability Category Weight
Reweight 2 Performance Categories				
<b>No Cost + No Promoting Interoperability</b> (Cost + Promoting Interoperability $\rightarrow$ Quality)	85%	0%	15%	0%
No Cost + No Quality (Cost + Quality → Promoting Interoperability)	0%	0%	15%	85%
No Cost + No Improvement Activities (Cost + Improvement Activities → Promoting Interoperability + Quality)	70%	0%	0%	30%
No Promoting Interoperability + No Quality (Promoting Interoperability + Quality → Cost + Improvement Activities)	0%	50%	50%	0%
No Promoting Interoperability + No Improvement Activities (Promoting Interoperability + Improvement Activities → Quality)	70%	30%	0%	0%
No Quality + No Improvement Activities (Quality + Improvement Activities → Promoting Interoperability)	0%	30%	0%	70%

If you have multiple performance categories reweighted to 0% so that a single performance category is weighted at 100% of your final score, you'll receive a score equal to the performance threshold regardless of any data submitted or not and receive a neutral payment adjustment.

#### **Reweight 4 Performance Categories**

If all performance categories are reweighted to 0%, you'll receive a score equal to the performance threshold regardless of any data submitted or not and receive a neutral payment adjustment.



# Quality Payment

## Appendix A2. MIPS Performance Category Weight Redistribution Policies Under Traditional MIPS Finalized for the 2023 Performance Year: Small Practices

The table below illustrates the 2023 performance category weights and reweighting policies that CMS will apply to small practices.

Refer to <u>Appendix B</u> for reweighting policies that apply to APM Entities reporting the APP. APM Entities reporting traditional MIPS or MVPs can **only** request reweighting for **all** performance categories.

MIPS Performance Category Reweighting Scenario	Quality Category Weight	Cost Category Weight	Improvement Activities Category Weight	Promoting Interoperability Category Weight
No Reweighting				
Standard Weighting under traditional MIPS for small practices	30%	30%	15%	25%
Reweight 1 Performance Category				
<b>No Cost</b> (Cost $\rightarrow$ Quality and Promoting Interoperability)	55%	0%	15%	30%
No Improvement Activities (Improvement Activities → Quality)	45%	30%	0%	25%
No Promoting Interoperability (Promoting Interoperability → Quality and Improvement Activities)	40%	30%	30%	0%
<b>No Quality</b> (Quality $\rightarrow$ Promoting Interoperability)	0%	30%	15%	55%

Table continues on the following slide.

## **Appendix A2.**

## MIPS Performance Category Weight Redistribution Policies Under Traditional MIPS Finalized for the 2023 Performance Year: Small Practices (Continued)

MIPS Performance Category Reweighting Scenario	Quality Category Weight	Cost Category Weight	Improvement Activities Category Weight	Promoting Interoperability Category Weight
Reweight 2 Performance Categories				
No Cost + No Promoting Interoperability (Cost + Promoting Interoperability → Quality and Improvement Activities)	50%	0%	50%	0%
No Cost + No Quality (Cost + Quality → Promoting Interoperability)	0%	0%	15%	85%
No Cost + No Improvement Activities (Cost + Improvement Activities → Promoting Interoperability + Quality)	70%	0%	0%	30%
No Promoting Interoperability + No Quality (Promoting Interoperability + Quality → Cost + Improvement Activities)	0%	50%	50%	0%
No Promoting Interoperability + No Improvement Activities (Promoting Interoperability + Improvement Activities → Quality)	70%	30%	0%	0%
No Quality + No Improvement Activities (Quality + Improvement Activities → Promoting Interoperability)	0%	30%	0%	70%

**Note:** If you have multiple performance categories reweighted to 0% so that a single performance category is weighted at 100% of your final score, you'll receive a score equal to the performance threshold regardless of any data submitted or not submitted. See next slide for additional information.

## **Appendix B.**

**Reminders:** 

## 2023 Performance Year MIPS Performance Category Weight Redistribution Policies for APM Entities and APM Participants Reporting the APP

The table below illustrates the 2023 performance category weights and reweighting policies that CMS will apply to APM Entities reporting traditional MIPS or MVPs and to individual clinicians, groups and APM Entities reporting via the APP.

**Reminder:** APM Entities can only submit an EUC Exception Application for **all** performance categories.

**Quality Payment** 

PROGRAM

- Cost isn't scored under the APP.
- There are no reporting requirements for the improvement activities performance category under the APP for the 2023
  performance year. Participants reporting via the APP will automatically receive full credit for the improvement activities
  performance category.
- Participants reporting via the APP will follow the same reporting requirements as traditional MIPS for the Promoting Interoperability performance category.

MIPS Performance Category Reweighting Scenario	Quality Category Weight	Cost Category Weight	Improvement Activities Category Weight	Promoting Interoperability Category Weight
No Reweighting				
Standard Weighting under the APP	50%	0%	20%	30%
Reweight 1 Performance Category				
No Promoting Interoperability (Promoting Interoperability → Quality)	75%	0%	25%	0%
No Quality (Quality → Promoting Interoperability)	0%	0%	25%	75%

#### **Reweight 2+ Performance Categories**

If you have multiple performance categories reweighted to 0% so that a single performance category is weighted at 100% of your final score, you'll receive a score equal to the performance threshold regardless of any data submitted or not and receive a neutral payment adjustment.



# Quality Payment

## Appendix C. MIPS Promoting Interoperability Hardship Exception Application Status Descriptions

The table below provides a description of each application status in the order of which they occur.

Draft in Progress	Submitted – Pending Approval	Approved / Denied	Withdrawn	
Draft in Progress	Submitted - Pending Approval	Approved	🖉 Withdrawn	
You're currently working on your application and	You've successfully completed and submitted	We completed our review of your application and approved your request.	You've withdrawn your application. You can withdraw your application at any point in the process.	
elect Manage to continue Applications are reviewed in the order of which	🗙 Denied	An application can't be reopened after being withdrawn. You'll need to		
application.	they're received.	We completed our review of your application and denied your request	complete a new application.	

